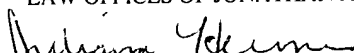




I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents,  
Attn: Box Missing Parts,  
Washington, D.C. 20231, on May 17, 2001

LAW OFFICES OF JONATHAN ALAN QUINE

By

  
Juliana Hermes

Attorney Docket No. 02-100620US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Steven H. Bass et al.

Application No.: 09/760,010

Filed: January 10, 2001

For: **INTEGRATED SYSTEMS AND METHODS  
FOR DIVERSITY GENERATION AND  
SCREENING**

Examiner: Unassigned

Art Unit: Unassigned

TRANSMITTAL LETTER - RESPONSE  
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated March 6, 2001, enclosed are the following to be made of record in the above-identified application:

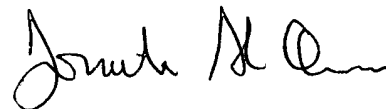
- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)  
(+ copy of Assignment - not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)
- 3) Copy of Notice of Missing Parts
- 4) Petition for Extension of Time for 1 month Under 37 CFR 1.136(a)
- 5) Fee transmittal sheet
- 6) Transmittal Sheet
- 7) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity: (a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$ 710.00
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	$387 - 20 = 367 \times 18 =$	\$ 6606.00
	$13 - 3 = 10 \times 80 =$	\$ 800.00
	Multiple Dependent Surcharge	\$ 270.00
(c)	Missing Parts Surcharge	\$ 130.00
<b>TOTAL FEES TO BE CHARGED</b>		<b>\$ 8516.00</b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,

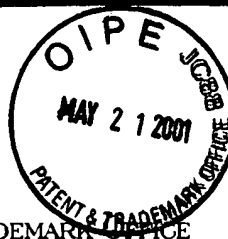


Jonathan Alan Quine, J.D., Ph.D.  
Reg. No. 41.261

LAW OFFICES OF JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/760,010	01/10/2001	Steven H. Bass	02-100620US

CONFIRMATION NO. 2748

22798

LAW OFFICES OF JONATHAN ALAN QUINE  
P O BOX 458  
ALAMEDA, CA 94501

FORMALITIES LETTER



\*OC000000005830165\*

Date Mailed: 03/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$7676.
  - \$6606 for 367 total claims over 20.
  - \$800 for 10 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 8516.

07/05/2001 TV0111 00000001 500893 09760010

01 FC:101	710.00 CH
02 FC:102	800.00 CH
03 FC:103	6552.00 CH
04 FC:104	270.00 CH
05 FC:105	130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

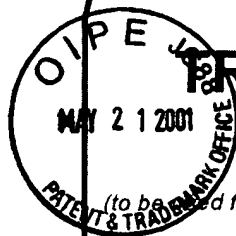
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign (+) inside this box → ☐

(Modified) PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

26

Application Number

09/760,010

Filing Date

January 10, 2001

First Named Inventor

Steven H. Bass

Group Art Unit

Unassigned

Examiner Name

Unassigned

Attorney Docket Number

02-100620US

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits/declaration(s)  
☒ Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☒ Response to Missing Parts/  
Incomplete Application  
☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

- ☒ Assignment Papers (copies, not for  
(for an Application) recordation)  
☐ Drawing(s)  
☐ Licensing-related Papers  
☒ Power of Attorney by Assignee  
and Statement under CFR 3.73(b)  
☐ Petition to Convert to a  
Provisional Application  
☐ Power of Attorney, Revocation  
Change of Correspondence  
Address  
☐ Terminal Disclaimer  
☐ Small Entity Statement  
☐ Request for Refund

- ☐ After Allowance Communication  
to Group  
☐ Appeal Communication to Board  
of Appeals and Interferences  
☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Additional Enclosure(s)  
(please identify below):

Declaration and receipt  
acknowledgment postcard

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Jonathan Alan Quine, Reg. No. 41,261, The Law Offices of Jonathan Alan Quine

Signature

Jonathan Alan Quine

Date

May 17, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 17, 2001

Typed or printed name

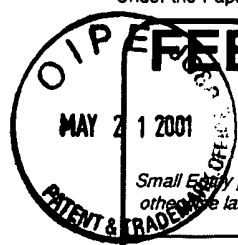
Juliana Hermes

Signature

Juliana Hermes

Date

May 17, 2001



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$) 8626.00

Complete if Known	
Application Number	09/760,010
Filing Date	January 10, 2001
First Named Inventor	Steven H. Bass
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	02-100620US

METHOD OF PAYMENT (check one)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	
Deposit Account Number	50-0893
Deposit Account Name	Law Offices of Jonathan Alan Quine
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION				
1. BASIC FILING FEE				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
101 710	201 355	Utility filing fee	710	
106 310	206 155	Design filing fee		
107 480	207 240	Plant filing fee		
108 690	208 345	Reissue filing fee		
114 150	214 75	Provisional filing fee		
SUBTOTAL (1)			(\$)	710.00

2. EXTRA CLAIM FEES				
Total Claims	387	Extra Claims	367	Fee from below
Independent Claims	13	-20**	= 10	Fee Paid
Multiple Dependent		-3**	= 270	
**or number previously paid, if greater; For Reissues, see below				
Large Entity Fee Code (\$)				
103 18	203 9	Claims in excess of 20		
102 80	202 40	Independent claims in excess of 3		
104 270	204 135	Multiple dependent claim, if not paid		
109 78	209 39	** Reissue independent claims over original patent		
110 18	210 9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)			(\$)	7676.00

3. ADDITIONAL FEES				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
105 130	205 65	Surcharge - late filing fee or oath	130	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.		
139 130	139 130	Non-English specification		
147 2,520	147 2,520	For filing a request for reexamination		
112 920*	112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		
115 110	215 55	Extension for reply within first month	110	
116 390	216 195	Extension for reply within second month		
117 890	217 445	Extension for reply within third month		
118 1390	218 695	Extension for reply within fourth month		
128 1890	228 945	Extension for reply within fifth month		
119 310	219 155	Notice of Appeal		
120 300	220 150	Filing a brief in support of an appeal		
121 260	221 130	Request for oral hearing		
138 1,510	138 1,510	Petition to institute a public use proceeding		
140 110	240 55	Petition to revive - unavoidable		
141 1240	241 605	Petition to revive - unintentional		
142 1240	242 605	Utility issue fee (or reissue)		
143 430	243 215	Design issue fee		
144 580	244 290	Plant issue fee		
122 130	122 130	Petitions to the Commissioner		
123 50	123 50	Petitions related to provisional applications		
126 240	126 180	Submission of Information Disclosure Stmt		
581 40	581 40	Recording each patent assignment per property (times number of properties)		
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))		
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))		
Other fee (specify)				
Other fee (specify)				
SUBTOTAL (3)			(\$)	240.00

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Jonathan Alan Quine			Reg. Number	41,261
Signature				Deposit Account User ID	
Date	05/17/01				

CERTIFICATE OF MAILING				
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: May 17, 2001				
Typed or Printed Name	Juliana Hermes			
Signature			Date	May 17, 2001